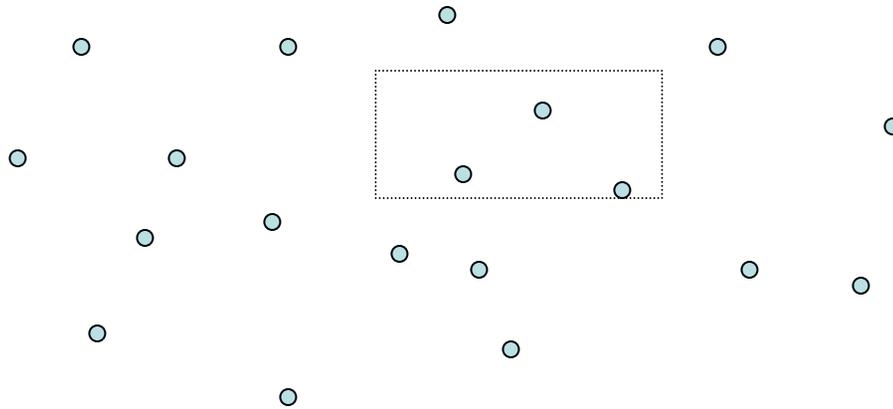


# Orthogonal Range Queries: Basic Methods

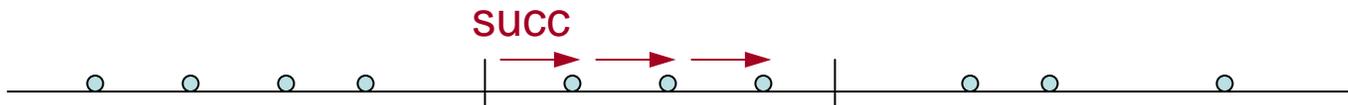
Timothy Chan

MADALGO Summer School'10  
(Mon. Morning I)

# Orthogonal Range Searching



- 1D:

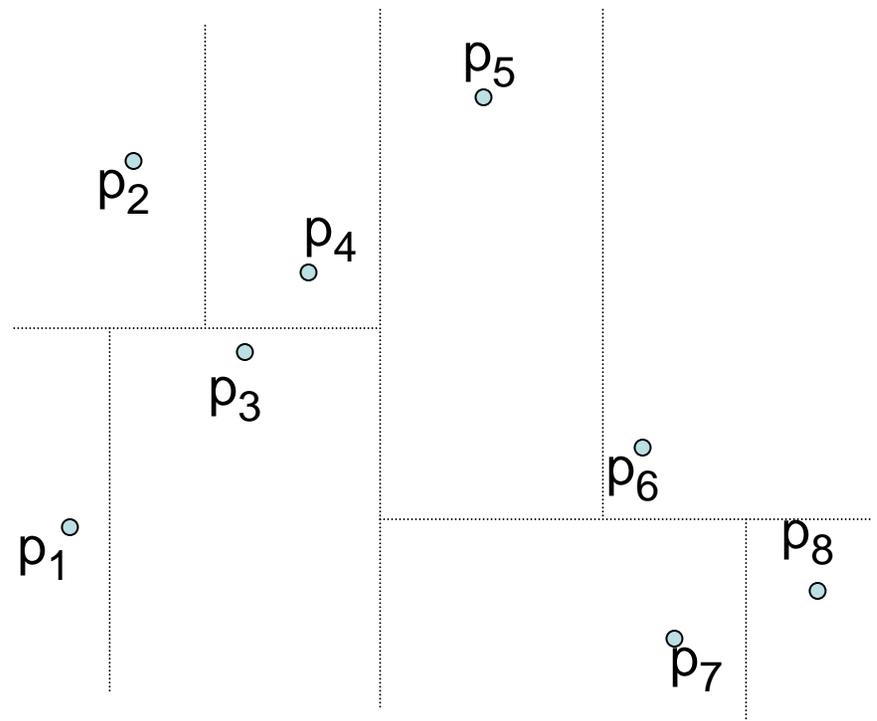
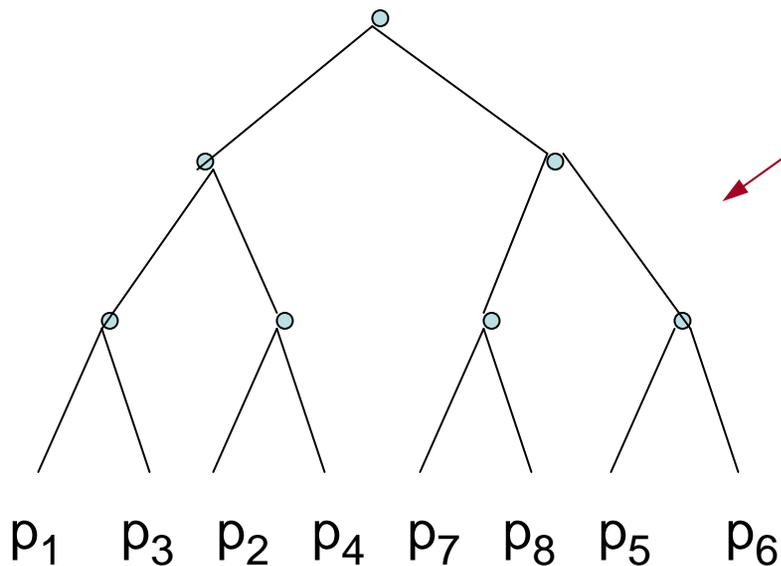


$$P(n) = O(n \log n), \quad S(n) = O(n), \quad Q(n) = O(\log n [+ k])$$

- 2D??

# Method 0: k-d Tree

- Divide by median-x,
- Then by median-y,
- Then by median-x, Etc.

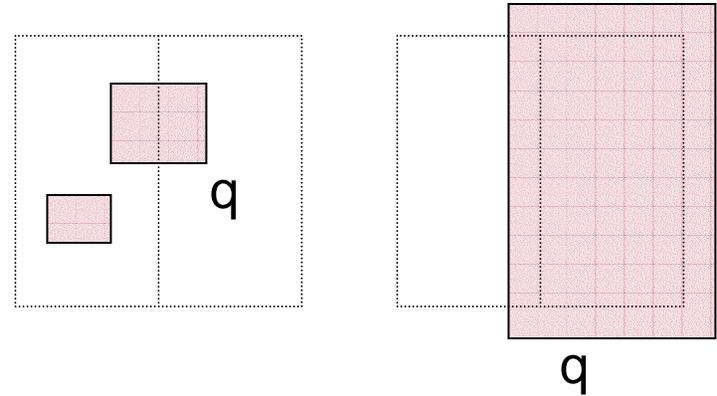


$$S(n) = O(n)$$

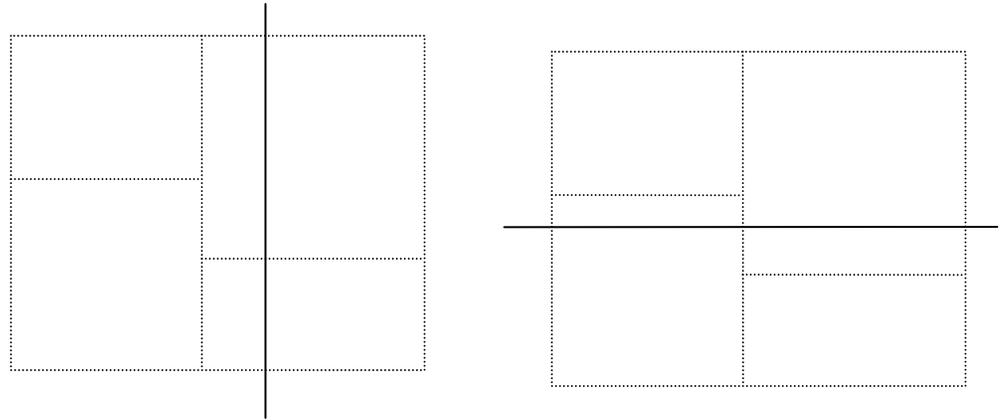
$$P(n) = 2 P(n/2) + O(n)$$

$$\Rightarrow O(n \log n)$$

- $Q(n) = O(\# \text{ cells crossing } \partial q)$   
 $= O(4 \cdot \# \text{ cells crossing a line})$   
 $\swarrow$   
 $Z(n)$



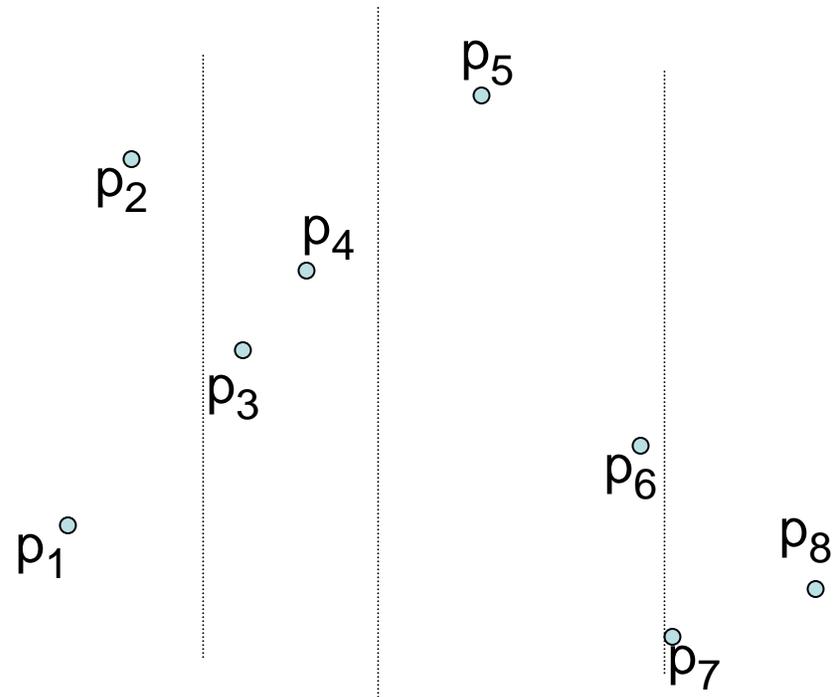
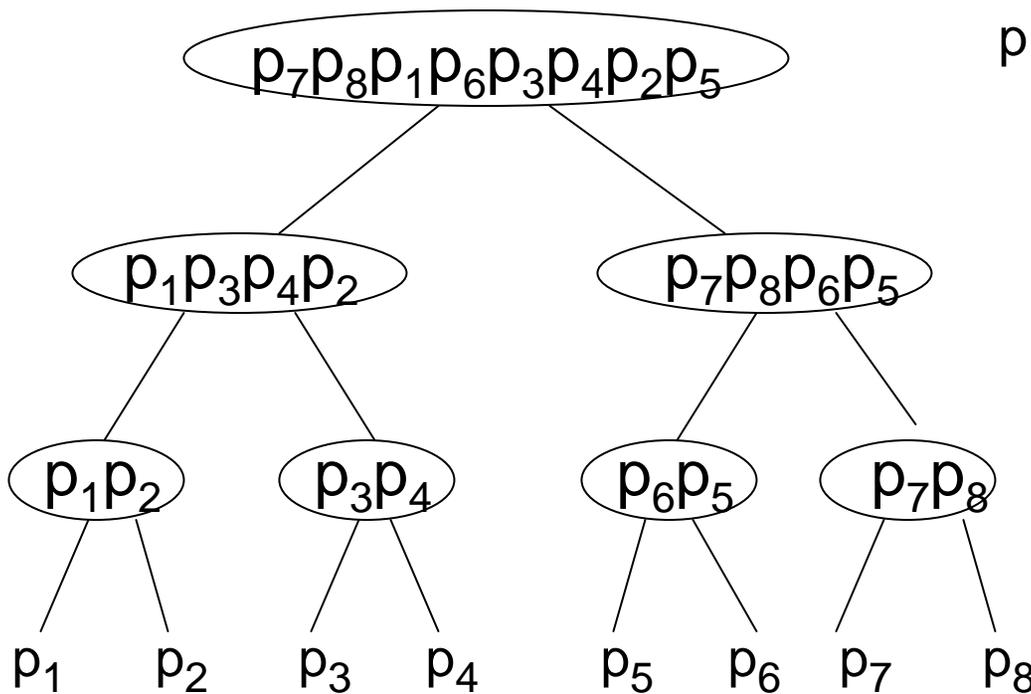
- $Z(n) = 2 Z(n/4) + O(1)$   
 $\Rightarrow O(n^{1/2})$



- **Rmk:** not good!  
 & worse in higher-D:  
 $Z(n) = 2^{d-1} Z(n/2^d) + O(1) \Rightarrow O(n^{1-1/d})$

# Method 1: Range Tree

- Store points in sorted y-order
- Divide by median-x only
- Recurse on left & right



$$S(n) = 2S(n/2) + O(n)$$

$$\Rightarrow O(n \log n)$$

$$P(n) = 2P(n/2) + O(n \log n)$$

$$\Rightarrow O(n \log^2 n)$$

by pre-sorting

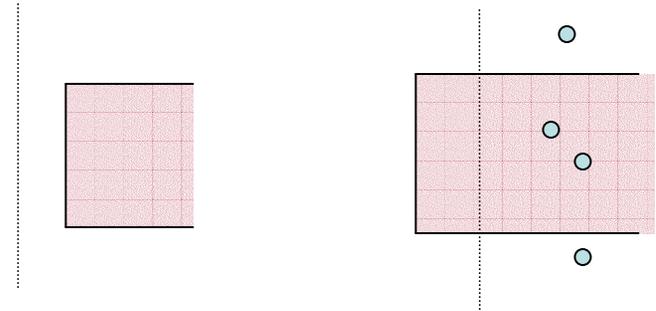
- 3-sided query:

$$Q_{3\text{side}}(n) = Q_{3\text{side}}(n/2) + O(1)$$

$$\text{or } Q_{3\text{side}}(n/2) + O(\log n)$$

$$\Rightarrow Q_{3\text{side}}(n) = O(\log^2 n)$$

search in y-sorted list



- General query:

$$Q(n) = Q(n/2) + O(1)$$

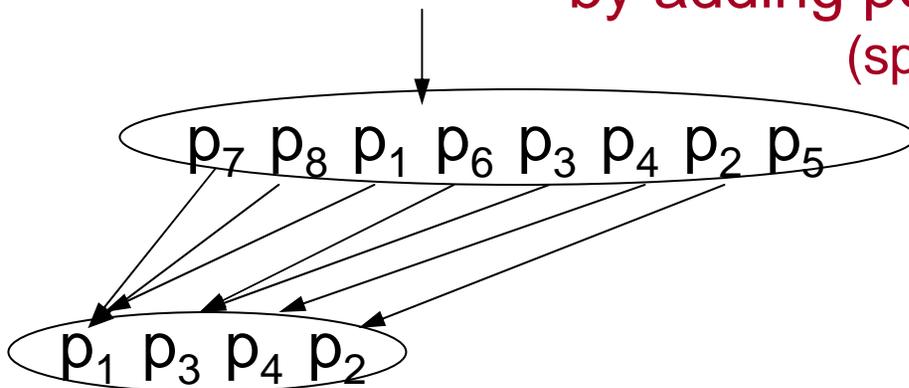
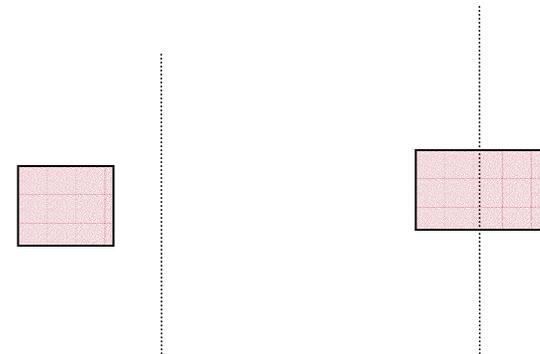
$$\text{or } 2 Q_{3\text{side}}(n/2) + O(1)$$

$$\Rightarrow Q(n) = O(\log^2 n)$$

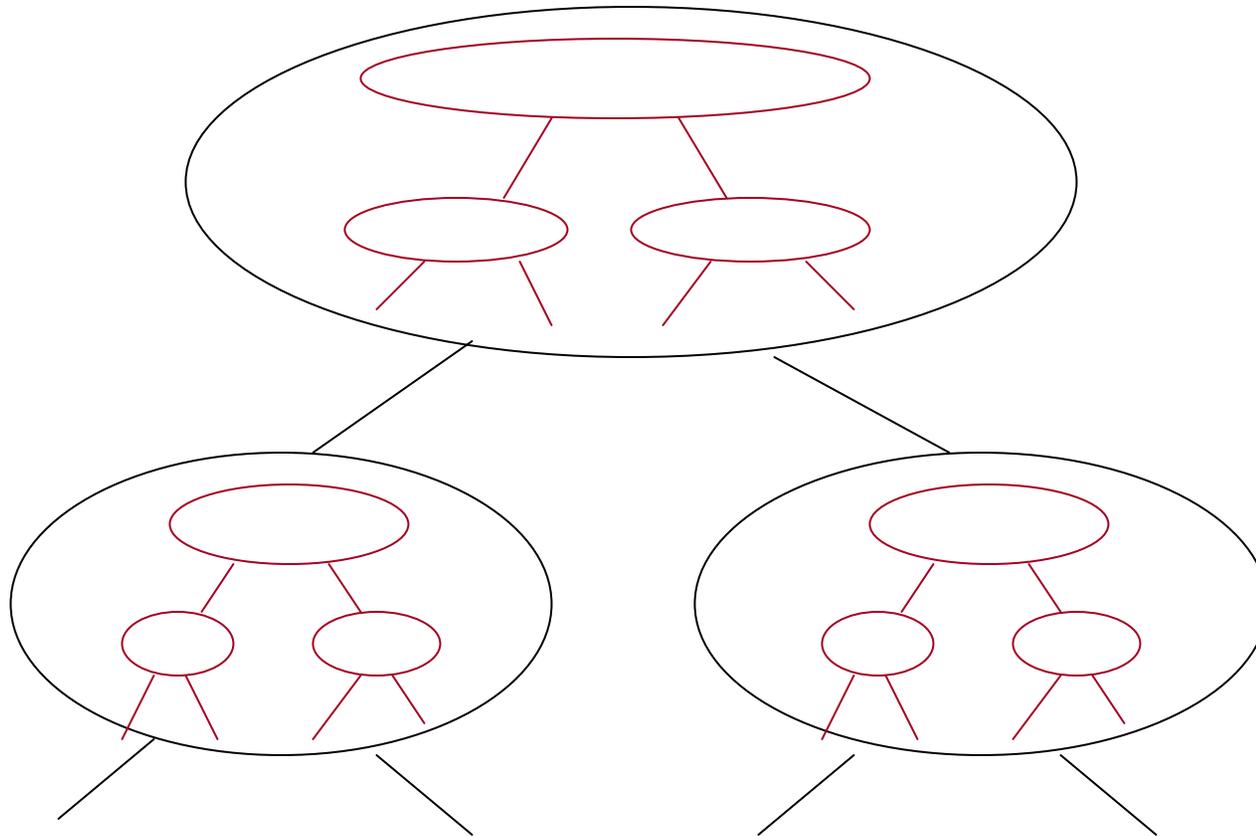
$O(\log^2 n)$

by adding pointers between layers

(special case of "fractional cascading")



# Higher-D



- $S_d(n) = 2S_d(n/2) + S_{d-1}(n)$   
 $\Rightarrow S_d(n) = O(S_{d-1}(n) \log n)$
- $Q_d(n) = O(Q_{d-1}(n) \log n)$

$$\Rightarrow S_d(n) = O(n \log^{d-1} n)$$
$$\Rightarrow Q_d(n) = O(\log^{d-1} n)$$

- Rmks:

- Example of “multi-level” data structure

- Dynamic: query/update time  ~~$O(\log^d n)$~~  by standard balanced tree techniques

$O(\log^{d-1} n \log \log n)$   
by dynamic fractional cascading

- (Mild) trade-off: by degree- $b$  range tree

$$S(n) = O(n (\log_b n)^{d-1}), \quad Q(n) = O((b \log_b n)^{d-1})$$

$$\text{or } S(n) = O(n (b \log_b n)^{d-1}), \quad Q(n) = O(\log n (\log_b n)^{d-2})$$

## Recap:

- Orthogonal range searching:  
 $O(n \log^{d-1} n)$  space,  $O(\log^{d-1} n)$  time

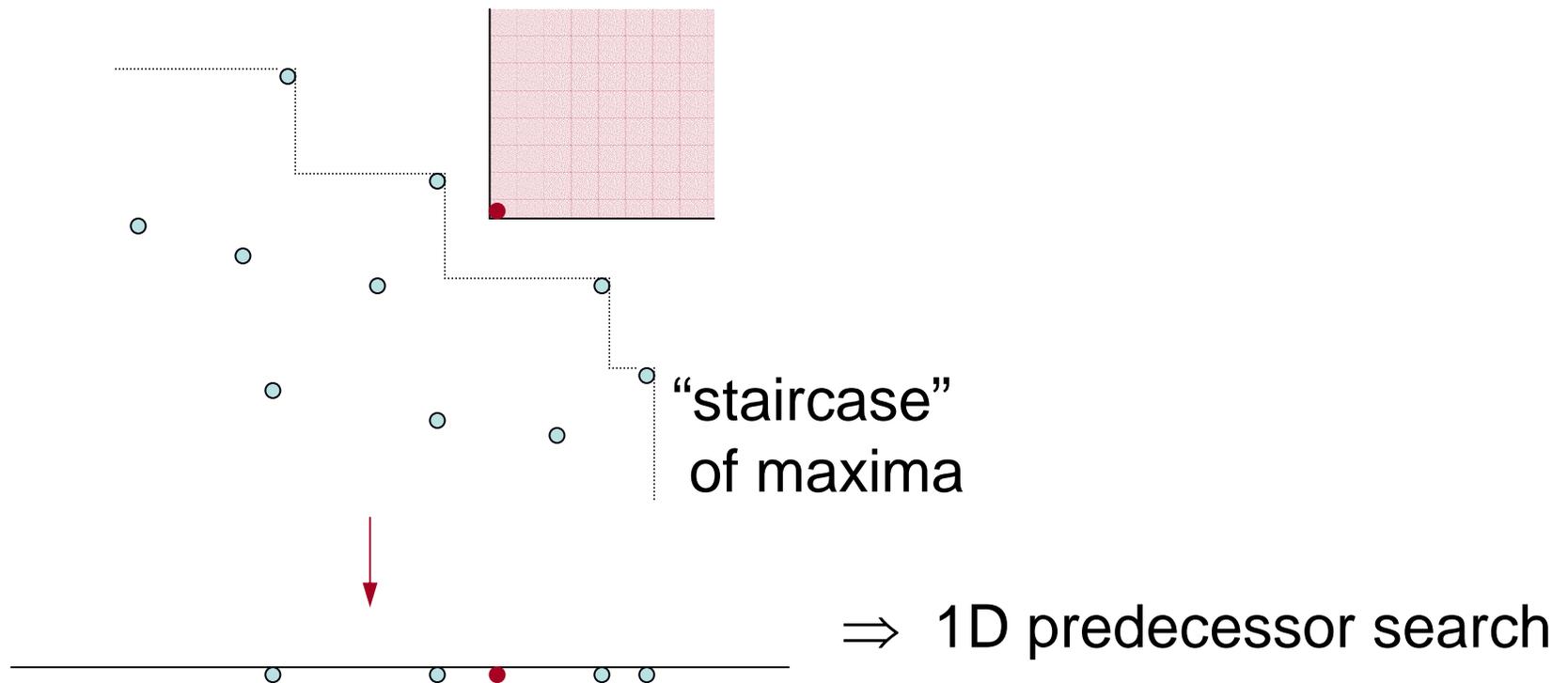
## Next:

- Improvements, by starting with better base cases  
(1D, 2D, 3D)??

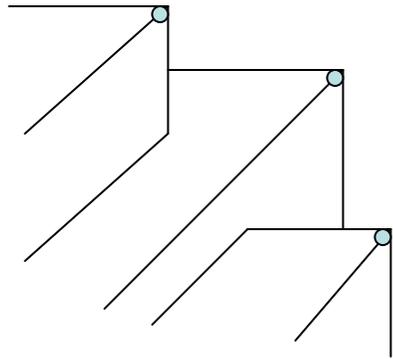
# Orthogonal Range Queries: 3D Reporting

(Mon. Morning II)

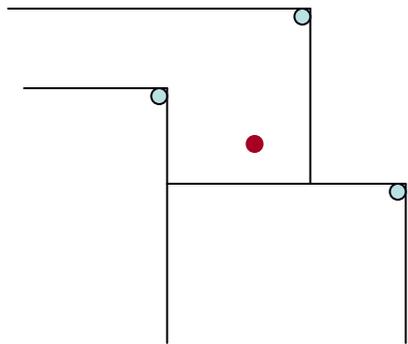
- **Goal:** 3D reporting  
 $O(n \text{ polylog } n)$  space,  $O(\log \log U + k)$  time?
- **Warm-up:** 2D dominance emptiness



- 3D dominance emptiness

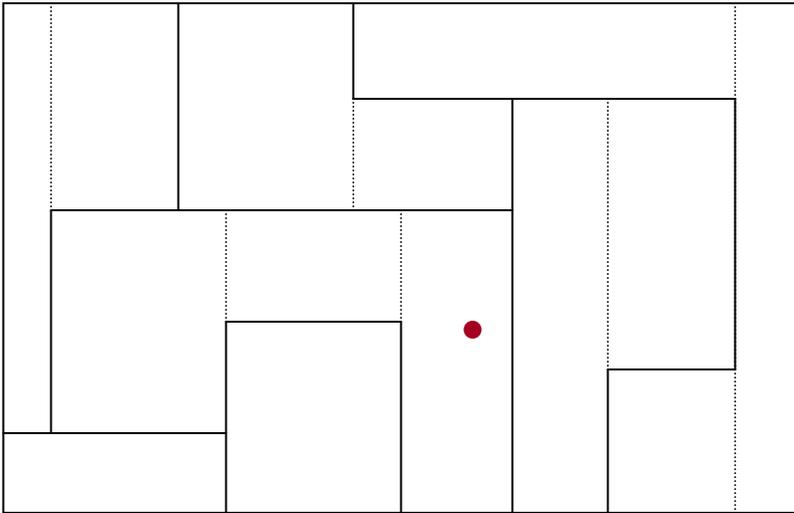


“staircase”  
of maxima



⇒ 2D orthogonal point location

# Orthogonal 2D Point Location



Store  $n$  disjoint rectangles in 2D  
s.t. can locate rectangle  
containing query pt

[non-orthogonal pt location:  
wait till Wed. (John)...]

- **Previous methods:** (Dietz'89/de Berg-van Kreveld-Snoeyink'95)  
 $O(n)$  space,  $O((\log \log U)^2)$  time
- **Brand new method:** (Chan'11...)  
 $O(\log \log U)$  time!

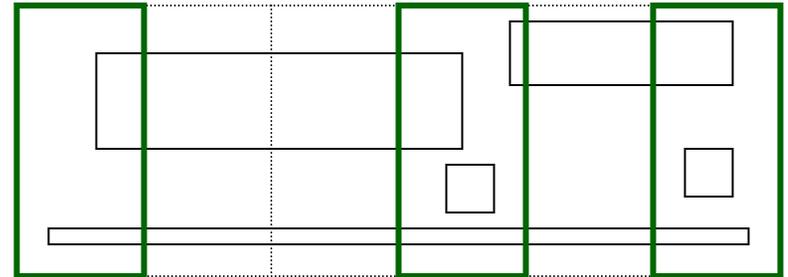
# Recursive Method: 1<sup>st</sup> Attempt

- Assume universe is  $W \times H$  (initially  $W = H = U$ )
  - **Idea:** use  $n^{1/2} \times n^{1/2}$  grid, like Alstrup-Brodal-Rauhe  
(column width  $W/n^{1/2}$ , row height  $H/n^{1/2}$ )
  - For each column/row,  
recurse on all rectangles that have  
a vertex inside the column/row  
**(each rectangle stored  $\leq 4$  times)**
  - For each grid cell, remember in table  
if it is covered by a rectangle, or  
if a horizontal or vertical edge cuts thru it
- 
- $Q(n,W,H) = O(1) + \max\{ Q(n_i, W/n^{1/2}, H), Q(n_j, W, H/n^{1/2}) \}$   
↑ locate grid cell                      ↑ 1 recursive call only!

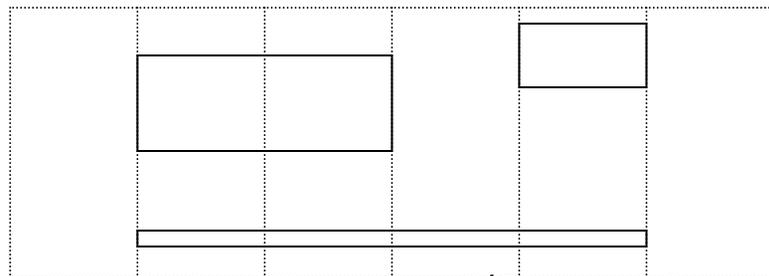
- $Q(n,W,H) = O(1) + \max\{ Q(n_j, W/n^{1/2}, H), Q(n_j, W, H/n^{1/2}) \}$
- Rmks:
  - as  $n$  gets smaller relative to  $W,H$ , recursion doesn't shrink  $W,H$  as much...
  - could apply rank space reduction to equalize  $n$  &  $W,H$  but cost extra  $\log\log$  factor due to van Emde Boas!

# Recursive Method: 2<sup>nd</sup> Attempt

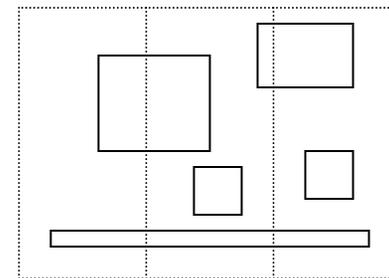
- **Idea:** imitate van Emde Boas  
 divide into  $W^{1/2}$  columns  
 (of width  $W^{1/2}$ )



- Build hash table for  $D =$  all “nonempty” columns
- Recurse w. rounded input + Recurse on universe with empty columns removed



(universe  $W^{1/2} \times H$ )



(universe  $nW^{1/2} \times H$ )

(each rectangle stored  $\leq 2$  times)

- $Q(n, W, H) = O(1) + Q(n, nW^{1/2}, H)$

- $Q(n,W,H) = O(1) + Q(n, nW^{1/2}, H)$
- Or  $Q(n,W,H) = O(1) + Q(n, n, nH^{1/2})$
- **Rmk:** but this recursion can't shrink  $W,H$  to const!

# Summary So Far...

- **Method 1:**

$$Q(n,W,H) = O(1) + \max\{ Q(n_i, W/n^{1/2}, H), Q(n_j, W, H/n^{1/2}) \}$$

- **Method 2a:**

$$Q(n,W,H) = O(1) + Q(n, nW^{1/2}, H)$$

- **Method 2b:**

$$Q(n,W,H) = O(1) + Q(n, n, nH^{1/2})$$

- **Final Method:** just combine!!

- If  $n \geq W^{1/3}$  &  $n \geq H^{1/3}$  then Method 1

- If  $n < W^{1/3}$  then Method 2a; if  $n < H^{1/3}$  then Method 2b

$$Q(n,W,H) = O(1) + \max\{ Q(n_i, W^{5/6}, H), Q(n_j, W, H^{5/6}) \}$$

$$Q(n,W,H) = O(1) + \max\{ Q(n_i, W^{5/6}, H), Q(n_j, W, H^{5/6}) \}$$
$$\Rightarrow O(\log\log W + \log\log H) = \boxed{O(\log\log U)}$$

- $S(n) = O(4^{O(\log\log U)} n) = \boxed{O(n \text{ polylog } U)}$
- **Rmk:** can reduce space to  $O(n)$  by more work...

## In Conclusion...

- 3D dominance emptiness:

$O(n \text{ polylog } n)$  space,  $O(\log \log U)$  time

- 3D dominance reporting:

similarly, w. additional ideas (Tues. afternoon),

$O(n \text{ polylog } n)$  space,  $O(\log \log U + k)$  time

⇒ 3D general reporting:

by adding sides w. 3 extra log factors in space,

$O(n \text{ polylog } n)$  space,  $O(\log \log U + k)$  time

[can save space by Alstrup-Brodal-Rauhe idea (Karpinski-Nekrich'10)...]

- Higher-D reporting:

by range trees w.  $d-3$  extra log factors in space & time,  
 $O(n \text{ polylog } n)$  space,  $O(\log^{d-3} n \log \log n + k)$  time

by degree- $b$  range trees w.  $b = \log^\epsilon n$ ,

$O(n \text{ polylog } n)$  space,  $O((\log n / \log \log n)^{d-3} \log \log n + k)$  time  
current record query time!

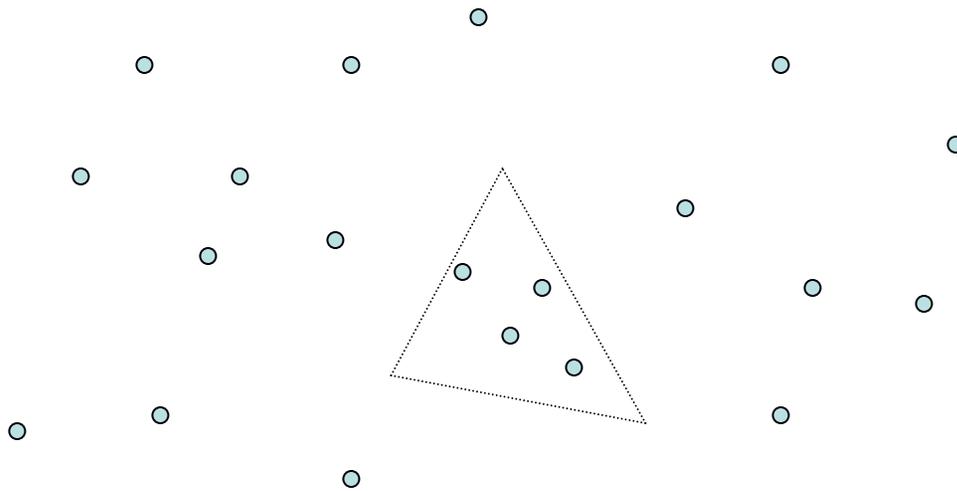
End of Orthogonal Range  
Query Upper Bounds

[why not  $O(\log \log U)$  in 4D?? wait for Tues. (Mihai)...]

# Non-Orthogonal Range Queries

(Mon. Afternoon II +  
Tues. Afternoon I + II)

# Simplex Range Searching



- An illustrative case: 2D halfplane counting

# History in 2D

	P(n)	S(n)	Q(n)	
• Willard'82		$n$	$n^{0.793}$	←
		$n$	$n^{0.774}$	
• Edelsbrunner-Welzl'86		$n$	$n^{0.695}$	
• Haussler-Welzl'87		$n$	$n^{0.667}$ (rand.)	
• Welzl'88		$n$	$n^{1/2} \log n$	
• Chazelle-Sharir-Welzl'92	$n^{1+\epsilon}$	$n^{1+\epsilon}$	$n^{1/2+\epsilon}$	
• Matoušek'92	$n \log n$	$n$	$n^{1/2} \text{polylog } n$	←
• Matoušek'93	$n^{1+\epsilon}$	$n$	$n^{1/2}$	
• Chan'10	$n \log n$	$n$	$n^{1/2}$ (rand.)	←
			$n^{1-1/d}$	
			(near opt.)	

- Clarkson'87
- Chazelle'93/Matoušek'93

P(n)	S(n)	Q(n)
	$n^{2+\epsilon}$	$\log n$ (rand.) ←
	$n^2$	$\log^3 n$
	$n^d$ ↗	↖ $\log^{d+1} n$

- Trade-off

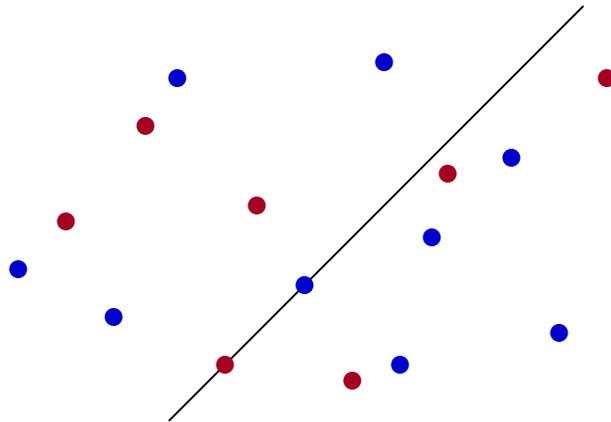
m	$(n / m^{1/d}) \log^{d+1} n$ (near opt.)
---	---

# Method 0 (Willard'82)

“ham”

“bread”

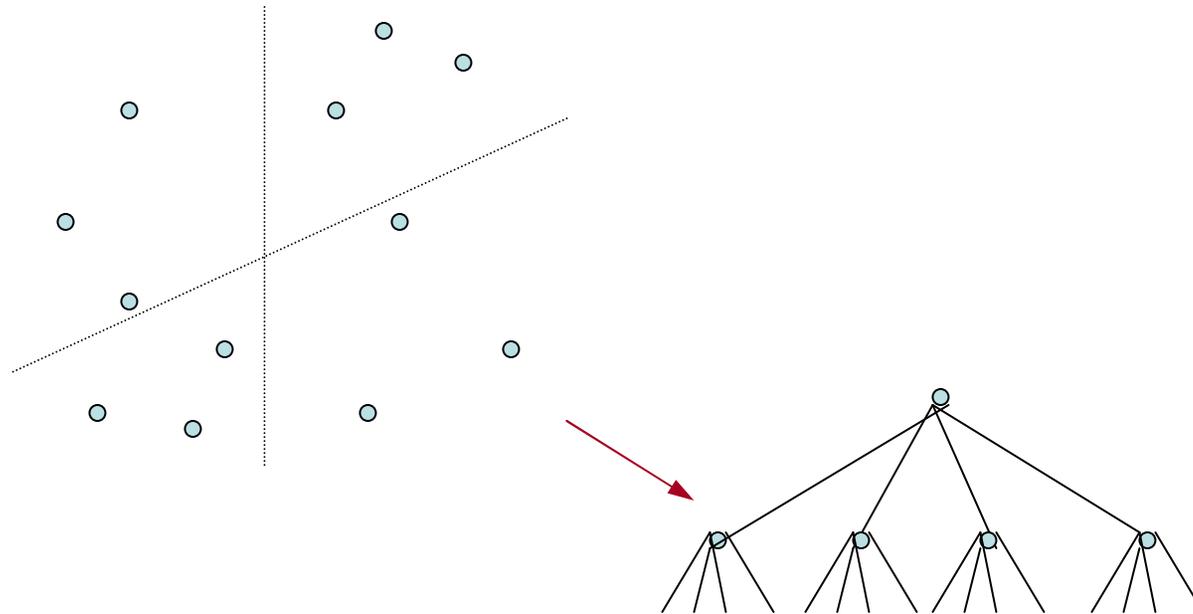
- “Ham-Sandwich Cut” Thm: Given 2 point sets P & Q in 2D,  
 $\exists$  line that simultaneously bisects P & Q



- Pf Sketch: Given dir.  $v$ , let  $\ell_P$  = line bisecting P along dir  $v$   
 $\ell_Q$  = line bisecting Q along dir  $v$



- **Corollary:** Given  $n$  points  $P$  in 2D,  
 $\exists$  2 lines which partition  $P$  into 4 subsets of  $n/4$  points

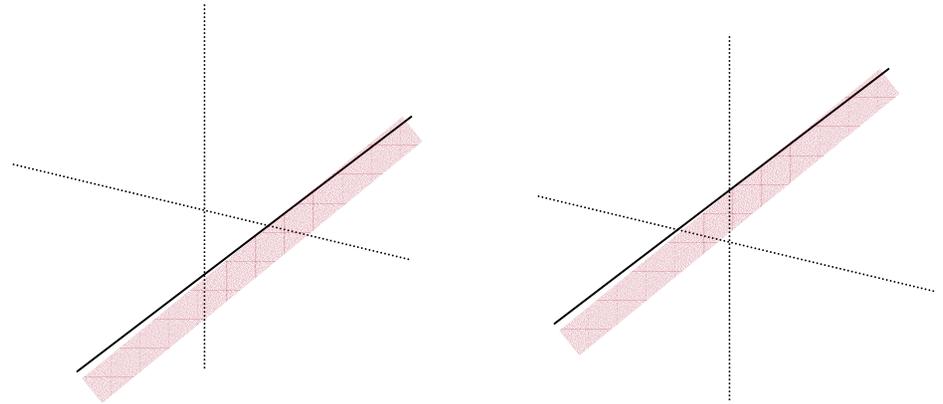


- Recurse  $\Rightarrow$  “partition tree”
  - $S(n) = O(n)$
  - $P(n) = 4 P(n/4) + O(n) \Rightarrow O(n \log n)$
- Megiddo'85

- Halfplane query:

$$Q(n) = 3 Q(n/4) + O(1)$$

$$\Rightarrow O(n^{\log_4 3}) \approx O(n^{0.793})$$



- Triangle query:

$$Q(n) = O(\# \text{ cells crossing } \partial q)$$

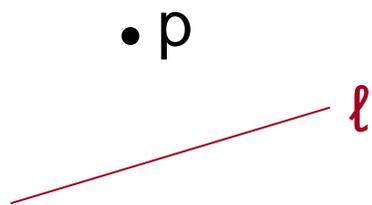
$$= O(3 \cdot \# \text{ cells crossing a line})$$

$$= O(n^{0.793})$$

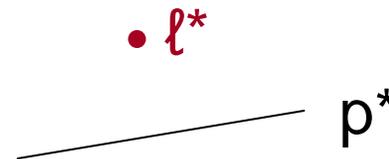
- **Rmks:** work also in 3D (8-partitioning), but not in 5D, ...  
in 2D, improve by partitioning into  $> 4$  cells??

# Method 1 (Dual)

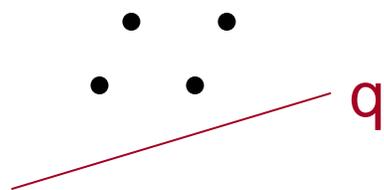
- Def:** Given point  $p = (a,b)$ , define its **dual** line  $p^*$ :  $y = ax - b$   
 Given line  $\ell: y = \alpha x - \beta$ , define its **dual** point  $\ell^* = (\alpha, \beta)$



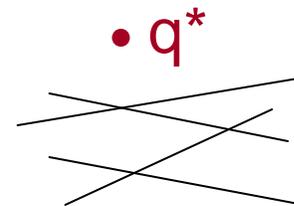
$$b > \alpha a - \beta$$

 $\Leftrightarrow$ 


$$\beta > a\alpha - b$$



given  $n$  pts, count pts  
above query line  $\Leftrightarrow$



given  $n$  lines, count lines  
below query pt

- **Lemma:** Given  $n$  lines in 2D, can cut the plane into 4 cells s.t. each cell intersects  $\leq 3n/4$  lines

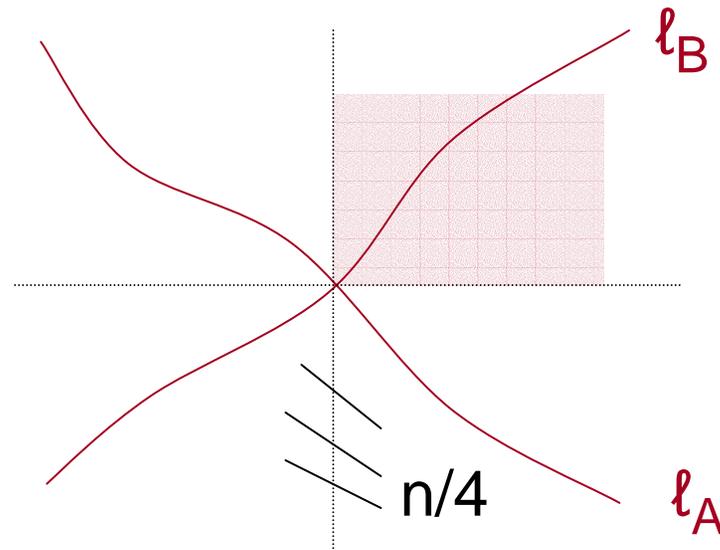
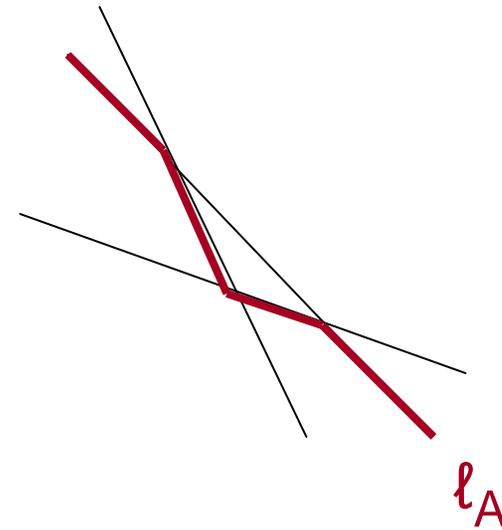
- **Pf Sketch:** Say median slope = 0

Let  $A$  = lines w. slope  $< 0$

$B$  = lines w. slope  $> 0$

Let  $\ell_A$  = median level of  $A$

$\ell_B$  = median level of  $B$



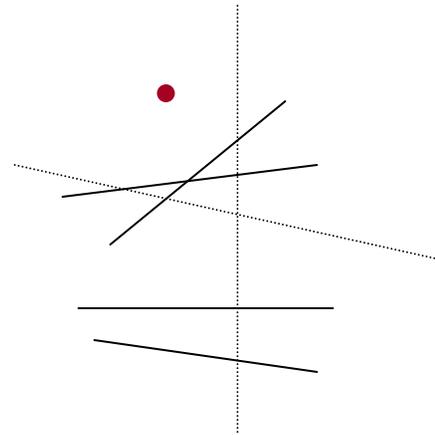
- Recurse  $\Rightarrow$  “cutting tree”

- $S(n) = 4 S(3n/4) + O(1)$   
 $\Rightarrow O(n^{\log_{4/3} 4}) \approx O(n^{4.82})$

- Count # lines below query pt:

$$Q(n) = Q(3n/4) + O(1)$$

$$\Rightarrow O(\log n)$$



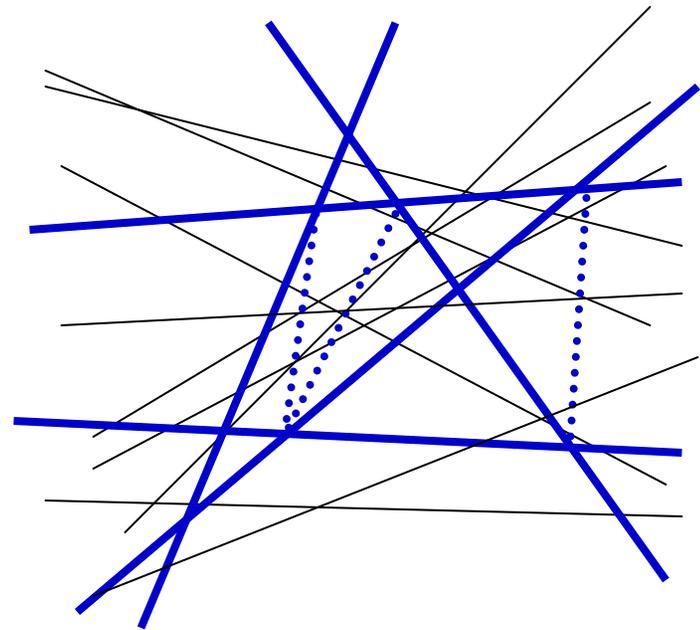
- **Rmks:** more complicated in higher-D... (Megiddo'84/Dyer'86)  
in 2D, improve by cutting into  $> 4$  cells??

## Method 2 (Clarkson'87)

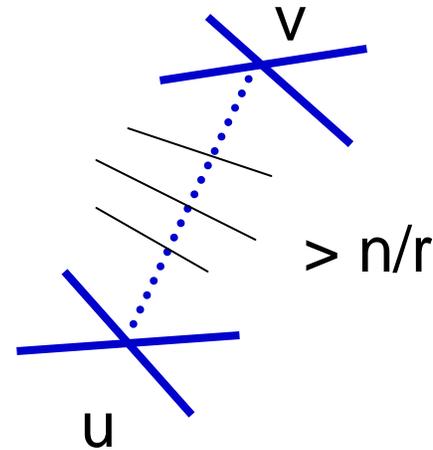
by Chazelle-Friedman'90  
(bound is tight)

- **Cutting Lemma:** Given  $n$  lines in 2D, can cut into  $O(r^2 \log^2 r)$  disjoint cells s.t. each cell intersects  $O(n/r)$  lines “(1/r)-cutting”
- **Pf:** Idea: “probabilistic method” (“ $\epsilon$ -net”-type argument)  
Take random sample  $R$  of size  $cr$   
Return a triangulation  $T(R)$  of the arrangement of  $R$

Success if every edge of  
 $T(R)$  intersects  $\leq n/r$  lines



- Fix a line segment  $uv$  that intersects  $> n/r$  lines



- $\Pr\{uv \text{ appears in } T(R)\} \leq (cr/n)^4 (1 - cr/n)^{n/r}$

- $\Pr\{\text{failure}\} \leq n^4 \cdot (cr/n)^4 (1 - cr/n)^{n/r} \leq (cr)^4 / e^c \ll 1$

by setting  $c \approx 100 \log r$  **Q.E.D.**

- Recurse  $\Rightarrow$  cutting tree
- $S(n) = Cr^2 S(n/r) + O(r^2)$   
 $\Rightarrow O(n^{\log(Cr^2)/\log r}) = O(n^{2 + \log C/\log r}) \Rightarrow \boxed{O(n^{2+\varepsilon})}$   
 by setting  $r = \text{suff large const}$
- Halfplane query:  
 $Q(n) = Q(n/r) + O(r^2) \Rightarrow \boxed{O(\log n)}$
- **Rmks:** extends to triangle query by multi-level...  
 $Q(n) = O(\text{polylog } n)$   
 in higher-D:  $S(n) = Cr^d S(n/r) + O(r^d) \Rightarrow O(n^{d+\varepsilon})$

## Recap:

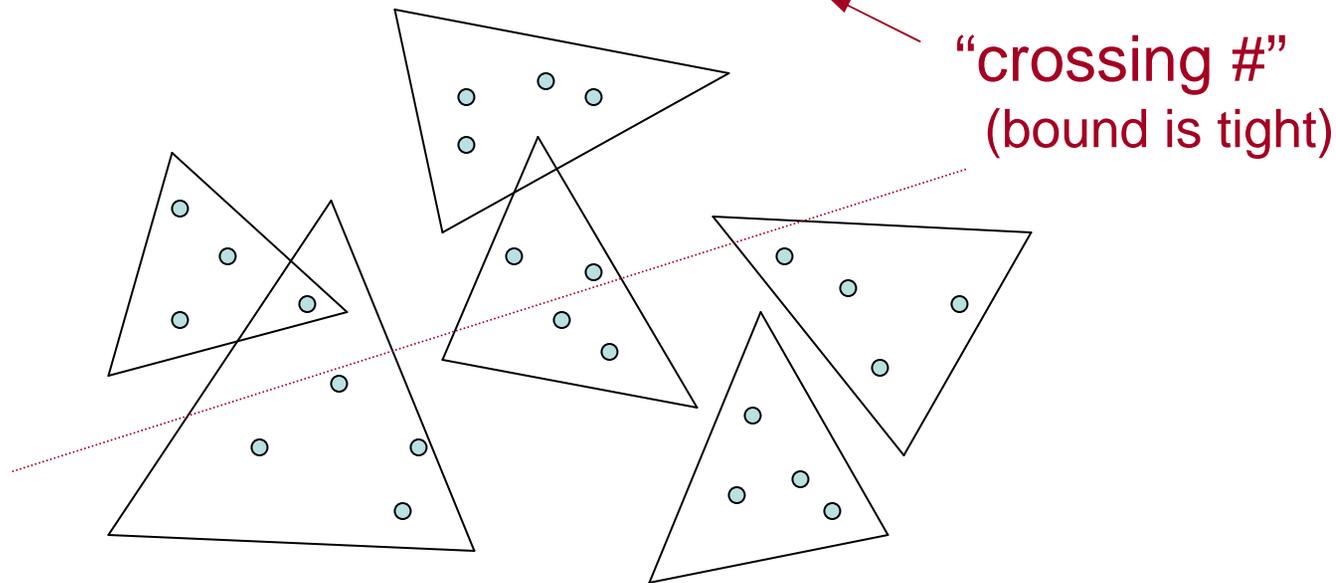
- Simplex range searching (2D):
  - Method 0 (Willard's partition tree):  
 $O(n)$  space,  $O(n^{0.793})$  time
  - Method 1 (cutting tree):  
 $O(n^{4.82})$  space,  $O(\text{polylog } n)$  query time
  - Method 2 (Clarkson):  
improve space of Method 1 to near  $O(n^2)$

## Next:

- improve time of Method 0 to  $O(n^{1/2})$ ??

## Method 3 (Matoušek'92)

- Back in primal...
- **Partition Thm:** Given  $n$  pts in 2D, can partition into  $t$  subsets of  $\Theta(n/t)$  pts & enclose each subset  $P_i$  in a cell  $\Delta_i$  s.t. any line crosses  $O(t^{1/2})$  cells



[Corollary: matching & spanning tree w. crossing #  $O(n^{1/2})$ ]

- Recurse  $\Rightarrow$  partition tree

- $S(n) = O(n)$

- Halfplane/triangle query:

$$Q(n) = Ct^{1/2} Q(n/t) + O(t)$$

$$\Rightarrow O(n^{\log(Ct^{1/2})/\log t}) = O(n^{1/2 + \log C/\log t}) \Rightarrow O(n^{1/2+\epsilon})$$

by setting  $t = \text{suff large const}$

- Or set  $t = n^\epsilon$

$$\Rightarrow O(C^{O(\log \log n)} n^{1/2}) = O(n^{1/2} \text{polylog } n)$$

- **Rmk:** in higher-D:

$$Q(n) = Ct^{1-1/d} Q(n/t) + O(t) \Rightarrow O(n^{1-1/d} \text{polylog } n)$$

# Pf of Matoušek's Partition Thm

- Suffice to prove crossing # for a finite set  $L$  of  $m$  “test lines”  
( $m = O(n^2)$ )  $O(t)$
- **Intuition:**
  1. Apply cutting lemma to  $L$  with  $r = t^{1/2}$   
 $\Rightarrow$  # cells  $O(r^2) = O(t)$
  2. Subdivide cells to ensure each has  $O(n/t)$  pts  
 $\Rightarrow O(t)$  extra cuts
  3. Total crossings between lines & cells  
 $= O(t \cdot m/r) = O(m t^{1/2})$   
 $\Rightarrow$  average # crossings per line  $= O(t^{1/2})$
- **Challenge:** turn average to max??

- **Idea:** “iterative reweighting” (Welzl’88) “weight”  

- Maintain a multiset  $L^\#$  initially containing  $L$  (multiplicity 1)
- For  $i = t, \dots, 1$  do: // assume  $i(n/t)$  pts remain
  1. Apply cutting lemma to  $L^\#$  with  $r = ci^{1/2}$   
 $\Rightarrow$  # cells  $O(r^2) \leq i$
  2. Pick cell  $\Delta_i$  containing  $\geq n/t$  pts
  3. Shrink  $\Delta_i$  s.t. it contains exactly  $n/t$  pts  $P_i$  & remove  $P_i$
  4. For each  $\ell$  in  $L$  crossing  $\Delta_i$   
double multiplicity of  $\ell$  in  $L^\#$
- **Analysis:**

$$|\{\ell \text{ in } L^\# : \ell \text{ crosses } \Delta_i\}| \leq |L^\#| / r = O(|L^\#| / i^{1/2})$$

$$\Rightarrow |L^\#| \text{ increases by a factor of } 1 + O(1/i^{1/2})$$

$\Rightarrow |L^\#|$  increases by a factor of  $1 + O(1/i^{1/2})$

- Final value of  $|L^\#| \leq m \prod_{i=t, \dots, 1} [1 + O(1/i^{1/2})]$   
 $\leq m \exp(O(\sum_{i=t, \dots, 1} 1/i^{1/2}))$   
 $= m \exp(O(t^{1/2}))$

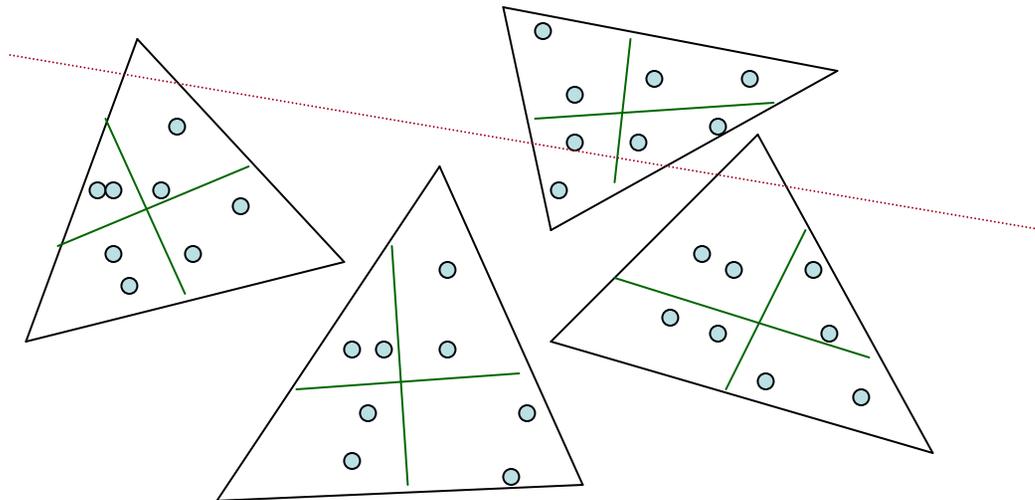
- Final multiplicity of  $\ell = 2^{\text{crossing \# of } \ell}$

$\Rightarrow \text{max crossing \#} \leq \log(\text{final value of } |L^\#|)$   
 $\leq O(\log m + t^{1/2})$   
 $\leq O(t^{1/2}) \quad \text{Q.E.D.}$

# New Method (C'10)

- **Idea:** instead of recursion, apply iterative reweighting to an entire level of the partition tree
- **Partition Refinement Thm:** Given a partition with  $t$  disjoint cells each with  $O(n/t)$  pts s.t. crossing # is  $Z$ , can subdivide each cell into  $O(b)$  disjoint subcells each with  $O(n/bt)$  pts s.t. overall crossing # is

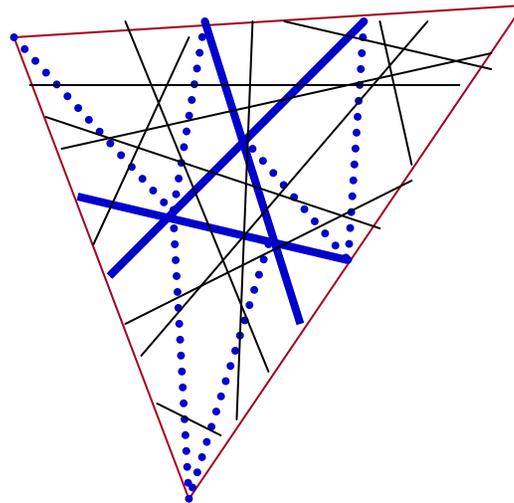
$$O((bt)^{1/2} + Z + b \text{ polylog } n)$$



- Repeat level by level  $\Rightarrow$  partition tree
- $Z(bt) = O(Z(t) + (bt)^{1/2} + \cancel{b \text{ polylog } n})$  ignore  
 i.e.,  $Z(u) = C Z(u/b) + O(u^{1/2})$   
 $\Rightarrow Z(u) = O(u^{1/2})$   
 by setting  $b = \text{suff large const}$
- $Q(n) = O\left(\sum_{t=1, b, b^2, \dots} Z(t)\right) = \boxed{O(n^{1/2})}$  (no extra logs!)
- **Rmk:** in higher-D,  
 $Z(u) = Cb^{1-1/(d-1)} Z(u/b) + O(u^{1-1/d}) \Rightarrow O(n^{1-1/d})$

# Pf of Partition Refinement Thm

- **Refined Cutting Lemma:** Given  $n$  lines in 2D & triangle  $\Delta$  with  $X$  intersections inside, can cut  $\Delta$  into  $O(r + X (r/n)^2)$  disjoint cells s.t. each cell intersects  $\leq n/r$  lines



complexity of  
arrangement of  
sample  $R$  of size  $r$

- Maintain multiset  $L^\#$
- For  $i = t, \dots, 1$  do: **// assume  $i$  cells remain**
  1. Pick a remaining cell  $\Delta_i$  with  $X_i \leq O(|L^\#|^2 / i)$  intersections inside & with  $m_i \leq O(|L^\#|Z / i)$  lines crossing it
  2. Apply cutting lemma to  $L^\#, \Delta_i$  with  $r = \min\{m_i(b/X_i)^{1/2}, b\}$   
 $\Rightarrow$  # subcells  $O(r + X_i (r/m_i)^2) = O(b)$
  3. Further subdivide s.t. each subcell of  $\Delta_i$  has  $O(n/bt)$  pts  
 $\Rightarrow O(b)$  extra cuts
  4. For each  $\ell$  in  $L$   
 multiply multiplicity of  $\ell$  in  $L^\#$  by  $(1+1/b)^{z_i(\ell)}$   
 where  $z_i(\ell) = \#$  new subcells of  $\Delta_i$  crossed by  $\ell$

- **Analysis:**

$$\sum_{\ell \text{ in } L^\#} z_i(\ell) \leq O(b \cdot m_i / r) \leq O(b \cdot [(X_i / b)^{1/2} + m_i / b])$$

$$\sum_{\ell \text{ in } L^\#} z_i(\ell) \leq O(b \cdot m_i / r) \leq O(b \cdot [(X_i / b)^{1/2} + m_i / b])$$

$$\Rightarrow \text{increase in } |L^\#| = \sum_{\ell \text{ in } L^\#} [(1+1/b)^{z_i(\ell)} - 1]$$

$$\leq O\left(\sum_{\ell \text{ in } L^\#} z_i(\ell) / b\right)$$

$$\leq O((X_i / b)^{1/2} + m_i / b)$$

$$[\text{recall } X_i \leq O(|L^\#|^2 / i), \quad m_i \leq O(|L^\#| Z / i)]$$

$$\leq O(|L^\#| / (bi)^{1/2} + |L^\#| Z / (bi))$$

- Final value of  $|L^\#| \leq m \prod_{i=t, \dots, 1} [1 + O(1 / (bi)^{1/2} + Z / (bi))]$
- $\leq m \exp\left(O\left(\sum_{i=t, \dots, 1} [1 / (bi)^{1/2} + Z / (bi)]\right)\right)$
- $= m \exp(O((t / b)^{1/2} + (Z \ln t) / b))$

$$= m \exp(O((t/b)^{1/2} + (Z \ln t)/b))$$

- Final multiplicity of  $\ell = (1+1/b)^{\text{crossing \# of } \ell}$
  - $\Rightarrow$  max crossing #  $\leq O(b \log (\text{final value of } |L^\#|))$
  - $\leq O(b \log m + (bt)^{1/2} + Z \ln t)$  **Q.E.D.**
- by more work

- **Rmk:**  $P(n) = O(n \log n)$  requires yet more work...

## Recap:

- Simplex range searching:  
     $O^*(n^d)$  space,  $O(\text{polylog } n)$  query time  
    or  $O(n)$  space,  $O(n^{1-1/d})$  time

## Next:

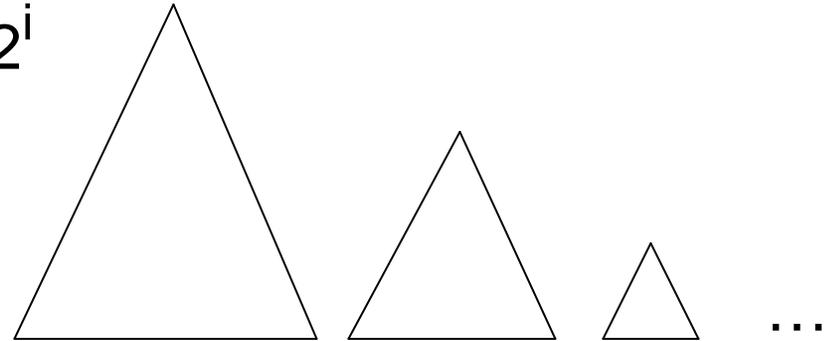
- Extensions & applications...

## Extension 0: Dynamic

- **Insertion:** the “logarithmic method” (Bentley, Saxe’80)

- Insert by building new subset of size 1

- While  $\exists$  2 subsets of size  $2^i$   
merge by building  
new subset of size  $2^{i+1}$



- Total insert time =  $O\left(\sum_i (n/2^i) 2^i \log 2^i\right) = O(n \log^2 n)$

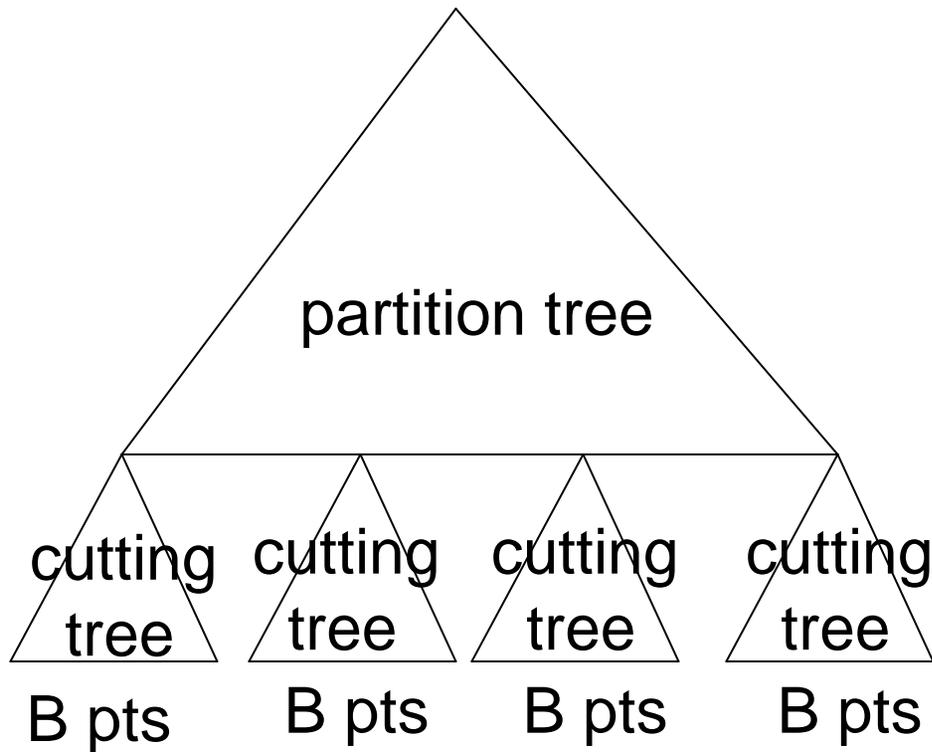
- $\Rightarrow$  amort. time  $O(\log^2 n)$

- Query is “decomposable”:  $Q(n) = O\left(\sum_i (2^i)^{1-1/d}\right) = O(n^{1-1/d})$

- **Deletion:** be lazy...

# Extension 1: Trade-Offs

- Combine...



$$S(n) = O^*((n/B) \cdot B^d)$$
$$= O^*(nB^{d-1}) = O^*(m)$$

by setting  $B = (m/n)^{1/(d-1)}$

$$Q(n) = O((n/B)^{1-1/d} \cdot \text{polylog } B)$$
$$= O^*(n/m^{1/d})$$

## Extension/App'l'n 2: Off-Line Problems

- **Ex:** Given  $n$  lines &  $n$  pts in 2D, count # of pairs  $(p, \ell)$  s.t. point  $p$  is above line  $\ell$  (“Hopcroft’s problem”)

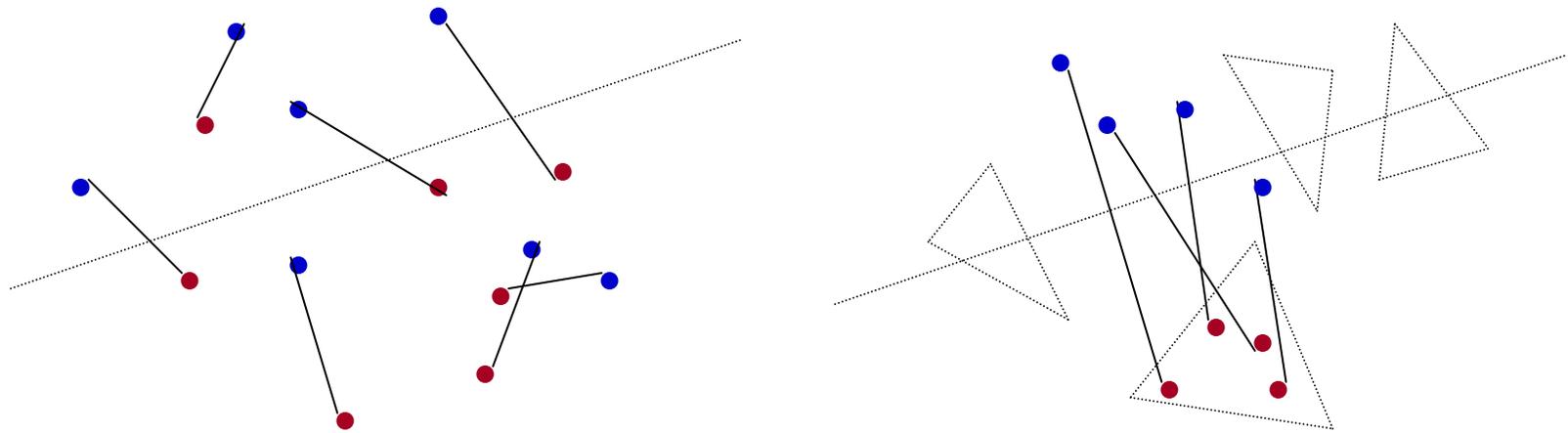
$$O^*(m + n \cdot n/m^{1/2}) = \boxed{O^*(n^{4/3})}$$

by setting  $m = n^{4/3}$

- **Rmks:** alg'mic pfs of combinatorial geometry problems  
lots & lots of other appl'ns...  
(sometimes cutting lemma suffices)  
in higher-D,  $O^*(m + n \cdot n/m^{1/d}) \Rightarrow O^*(n^{2 - 2/(d+1)})$

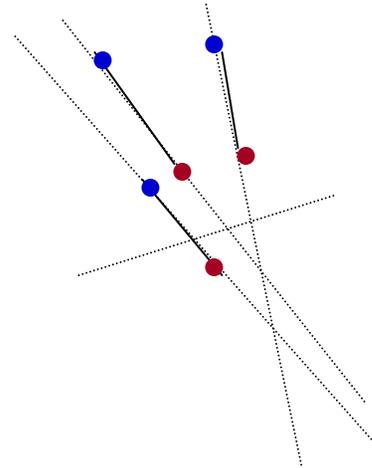
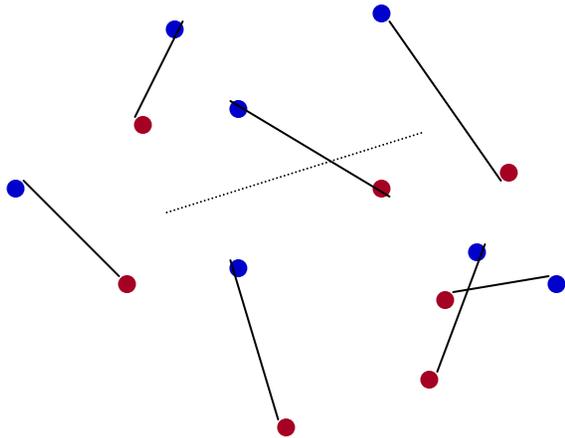
# Extension/App'l'n 3: Multi-Level Data Structures

- **Ex A:** Count all line segments intersecting query line



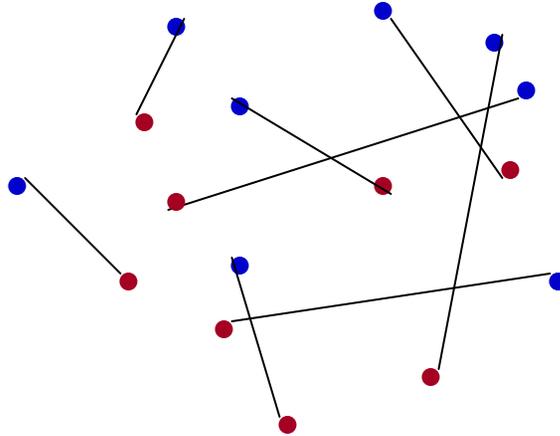
- Build partition tree for red pts, where each node stores a partition tree for a subset of blue pts (“canonical subset”)
- $S(n) = t S(n/t) + O(t \cdot n/t) \Rightarrow O(n \log n)$
- $Q(n) = Ct^{1/2} Q(n/t) + O(t \cdot (n/t)^{1/2}) \Rightarrow O^*(n^{1/2})$   
by setting  $t = \text{suff large const}$

- **Ex B:** Count all line segments intersecting query line segment



- Build partition tree for dual of input lines, where each node stores data structure from Ex 1 for a canonical subset
- $S(n) = t S(n/t) + O(t \cdot (n/t) \log (n/t)) \Rightarrow O(n \log^2 n)$
- $Q(n) = Ct^{1/2} Q(n/t) + O^*(t \cdot (n/t)^{1/2}) \Rightarrow O^*(n^{1/2})$   
by setting  $t = \text{suff large const}$

- **Ex C:** (Off-line problem) Given  $n$  line segments in 2D, count total # intersections



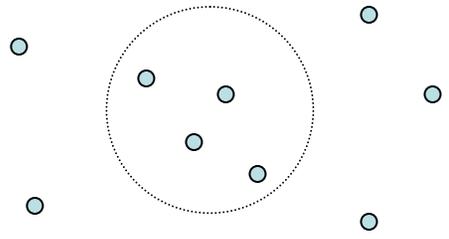
⇒  $O^*(n^{4/3})$  time alg'm

[Open:  $O(n^{4/3})$  without extra factors?]

# Extension 4: Non-Linear Ranges

1. By change of variables (“linearization”)

Ex A: 2D disk counting



The diagram shows a 2D disk (circle) with several points inside and several points outside. The disk is represented by a dotted circle.

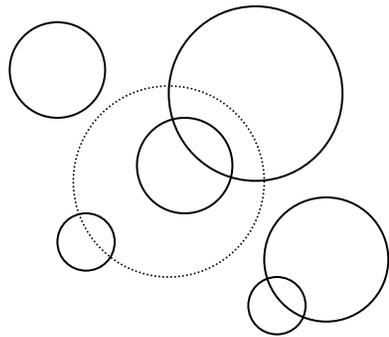
$$\Leftrightarrow (x - q_x)^2 + (y - q_y)^2 \leq q_r^2$$

$$\Leftrightarrow \boxed{x^2 + y^2} - 2q_x x - 2q_y y + \boxed{q_x^2 + q_y^2 - q_r^2} \leq 0$$

$$\Leftrightarrow \begin{matrix} \parallel & & \parallel \\ z & - 2q_x x - 2q_y y + & q_z \end{matrix} \leq 0$$

$$\Rightarrow \text{3D halfspace counting: } S(n) = \boxed{O(n)}, \quad Q(n) = \boxed{O^*(n^{2/3})}$$

## Ex B: 2D disk intersection counting



$$\begin{aligned}
 & (x - q_x)^2 + (y - q_y)^2 \leq (r + q_r)^2 \\
 \Leftrightarrow & \boxed{x^2 + y^2 - r^2} - 2q_x x - 2q_y y - 2q_r r + \boxed{q_x^2 + q_y^2 - q_r^2} \leq 0 \\
 & \quad \quad \quad \parallel \quad \quad \quad \parallel \\
 \Leftrightarrow & z - 2q_x x - 2q_y y - 2q_r r + q_z \leq 0
 \end{aligned}$$

$$\Rightarrow \text{4D halfspace counting: } S(n) = \boxed{O(n)}, \quad Q(n) = \boxed{O^*(n^{3/4})}$$

2. By directly extending partition thm, using combinatorial analysis of arrangements of surfaces (Agarwal-Matoušek'94)

$n^{2/3}$

$$S(n) = O(n), \quad Q(n) = O^*(n^{1-1/b})$$

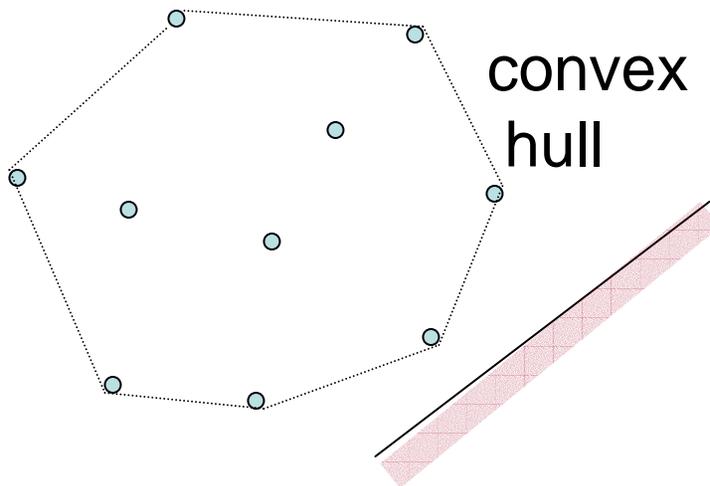
where  $b = d$  if  $d \leq 4$ ;  $b = \min\{2d - 4, \lfloor (d + \ell)/2 \rfloor\}$  else

# vars

# vars after  
linearization

## Extension 5: Halfspace Reporting

- Warm-up: 2D halfspace emptiness



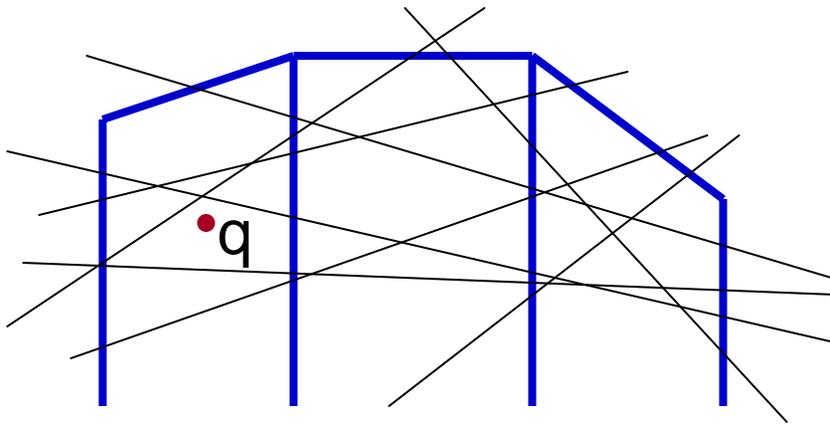
$$S(n) = O(n)$$

$$Q(n) = O(\log n)$$

- 3D halfspace emptiness
  - same (reduces to 2D point location in dual)

# 3D Halfspace Reporting (C'00)

- **Shallow Cutting Lemma:** (Matoušek'92) Given  $n$  planes in 3D, can cover all “ $(n/r)$ -shallow” pts with  $O(r)$  disjoint vertical cells s.t. each cell intersects  $O(n/r)$  planes



For  $r = 1, 2, 4, \dots$  do:  
 apply cutting lemma for  $r$   
 store list  $L_\Delta$  of planes  
 intersecting each cell  $\Delta$

$$S(n) = O\left(\sum_r r \cdot n/r\right) = O(n \log n)$$

- Query: take  $r \approx n/k$

$$Q(n) = O(\log n + n/r) = O(\log n + k)$$

point location  
to find  $\Delta$  (John)

linear search  
in  $L_\Delta$

- **Rmks:** same approach works for 3D dominance reporting...  
can reduce space to  $O(n \log \log n)$  by C'00/Ramos'99  
& to  $O(n)$  by Afshani-C'09
- **Higher-D halfspace reporting:**
  - By shallow versions of cutting lemma & partition thm
  - Matoušek'92 & Ramos'99/Afshani-C'09:  
 $S(n) = O(n)$ ,  $Q(n) = O(n^{1-1/\lfloor d/2 \rfloor} \text{polylog } n + k)$  for any  $d$
  - C'10:  
 $S(n) = O(n)$ ,  $Q(n) = O(n^{1-1/\lfloor d/2 \rfloor} + k \text{ polylog } n)$  for even  $d$
  - **Open:**  $O(n^{1-1/\lfloor d/2 \rfloor} + k)$ ? Same for odd  $d$ ? Lower bds?
  - Lots of appl'ns: ray shooting, LP queries, exact nearest neighbor search, convex hull alg'ms, ...

- **An Open Problem:** off-line halfspace counting for pts in convex position in  $d$ -D?

The End